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DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)

□ Declaration Submitted With Initial Filing

OR

 □ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number		EX02-049C2	
First Named Inventor		Hager, et al	
	СОМР	PLETE IF KNOWN	
Application Number	10/797,978		
Filing Date	3/10	0/2004	
Art Unit			
Examiner Name			

I hereby declare that:							
Each inventor's residence, mailing address, and citizenship are as stated below next to their name.							
I believe the inventor(s) nan	ned below to be the original and	d first inventor(s) of the subje	ct matter which is o	claimed and for wh	ich a patent		
Multi-Well Apparat	tus						
the specification of which	(Title of th	e Invention)					
is attached hereto	(1	<i></i>					
OR							
was filed on (MM/DD	^{/YYYY)} 03/10/2004	as United States Ap	plication Number o	r PCT Internationa	ıl		
Application Number	10/797,978 and	was amended on (MM/DD/Y	YYY)	(ii	fapplicable).		
I hereby state that I have rev amended specifically referre	riewed and understand the cod to above.	ontents of the above identif	ied specification, i	ncluding the clair	ns, as		
continuation-in-part applicati	sclose information which is money in the constant is money in the continution which are the continutions and the continutions in the continution in the co	ich became available betwe	efined in 37 CFR een the filing date	1.56, including fo of the prior applic	or cation and		
breeder's rights certificate(s), States of America, listed below	penefits under 35 U.S.C. 119(a or 365(a) of any PCT internati w and have also identified belo or any PCT international appl	ional application which desig	nated at least one foreign application	country other than for patent, inven-	n the United tor's or plant		
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy	Attached?		
Number(s)	Country	(1011125571111)		YES	NO		
Additional foreign applicat	ion numbers are listed on a su	pplemental priority data shee	t PTO/SB/02B attac	ched hereto:			

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application. form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

DECLARATION — Utility or Design Patent Application

Direct all correspondence to: Customer Numb	er		2	3500		OR	Correspondence address below
Name							
Exelixis, Inc.							
Address							
170 Harbor Way	-					_	
City	State			- · · · -		Z	IP .
South San Francisco	CA					94	1083-0511
Country	_		Τe	elephone			Fax
us			65	0-837-7000)		650-837-8234
I hereby declare that all statements made herein of my own believed to be true; and further that these statements were punishable by fine or imprisonment, or both, under 18 U.S. application or any patent issued thereon.	made wit	h the k	knov	vledge that	willful	false sta	tements and the like so made are
NAME OF SOLE OR FIRST INVENTOR:			Α	petition h	as be	en filed	for this unsigned inventor
Given Name (first and middle [if any])				Family Na	ame c	r Surna	ame
David Clarence				Hager			
Inventor's Signature	7 _	_			[ate	126/05
Residence: City	State			Col	untry	1/	Citizenship
San Francisco	CA			US			us
Mailing Address 2542 46th Avenue	<u>!</u>						
City	State			Zip			Country
San Francisco	CA			94	116		US
NAME OF SECOND INVENTOR:	•		Α	petition h	as be	en filed	for this unsigned inventor
Given Name (first and middle [if any]) 900 3	18120	05		Family Na	ame o	r Surna	ame
	,			Donaldso	n		
Inventor's Signature Way Dolden			•		C	ate	118 (2005
Residence: City	State			Cou	untry		Citizenship
Tigard	OR			us			us
Mailing Address 8550 S.W. Colony Creek Court							
City	State			Zip			Country
Tigard	OR			972	224		US
Additional inventors or a legal representative are being n	amed on t	he <u>2</u> sı	uppl	emental shee	et(s) P	ro/SB/02	2A or 02LR attached hereto.

PTO/SB/02A (09-04)

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DECLARATION

ADDITIONAL INVENTOR(S) Supplemental Sheet

Page 3 of 3

	_		
<u>'</u>	A petition has been filed for this unsigned inventor		
[if any])	F	amily Name or Surname	
	Kearney		
		Date 3/24/05	
CA	Country	US Citizenship	
CA State	974110 ZIP	US Country	
1	☐ A petition has been file	d for this unsigned inventor	
[if any])	Fa	amily Name or Surname	
	Keller		
		Date	
State OR	Country US	Citizenship US	
ate OR	Zip 97034	Country US	
,	☐ A petition has been	filed for this unsigned inventor	
[if any])	Fa	amily Name or Surname	
	Leahy		
		Date	
State CA	Country US	Citizenship US	
	(if anyl) CA CA State CA CA State CA State CA State CA State CA CA CA CA CA	(if any)) Kearney	

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ADDITIONAL INVENTOR(S) Supplemental Sheet

Page <u>3</u> of <u>3</u>

Name of Additional Inventor, if any			A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])			Family Name or Surname			
Patrick				Kearney		
Inventor's Signature						Date
Residence: City San Franc	isco s	CA tate		US Country		US . Citizenship
Mailing Address 195 Bocar	a Street					
Mailing Address						
City San Francisco	S	CA tate		974110 ZIP	Cou	US
Name of Additional Invent	or, if any			A petition has been filed	d for t	his unsigned inventor
Given Name (first a	ınd middle (if any])		Fa	mily i	Name or Surname
Douglas O.				Keller		
Inventor's Signature						Date
Residence: City Lake Osw	ego si	tate OR		Country US		Citizenship US
Mailing Address 17754 Tre	etop Lane	- "				
Mailing Address						
City Lake Osw	ego Sta	te OR] ;	77034 Zip	Cou	untry US
Name of Additional Invent	or, if any			A petition has been f	iled fo	or this unsigned inventor
Given Name (first a	ind middle [if any])		. Fa	mily l	Name or Surname
James William				Leahy		
Inventor's Signature						Date
Residence: City San Leano	lro	State CA	_	Country US		Citizenship US
Mailing Address 1185 Cam	ellia Court					
Mailing Address						
City San Leano	iro	State CA		Z ip 94577	Co	untry US

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VA 22313-1450.

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DECLARATION

ADDITIONAL INVENTOR(S) Supplemental Sheet

Page 3 of 3

Name of Additional Inventor, if any				☐ A petition has been filed for this unsigned inventor		
Given Name (first and middle [if any])				Family Name or Surname		
Patrick			K	(earney		
Inventor's Signature						Date
Residence: City	San Francisco	CA State	Co	US untry		US Citizenship
Mailing Address	195 Bocana Street					
Mailing Address						
City San Francisco		CA State	ZIP	974110		US Country
Name of Addition	al Inventor, if a	ny		A petition has	been filed	for this unsigned inventor
Given	Name (first and midd	lle [if any])			Fan	nily Name or Surname
Douglas O.			ĸ	Keller		
Inventor's Signature						Date
Residence: City	Lake Oswego	State OR	Co	untry US		Citizenship US
Mailing Address	17754 Treetop Lan	е				
Mailing Address						
City	Lake Oswego	State OR	Zip	97034		Country
Name of Addition	al Inventor, if a	ny		A petition I	nas been file	ed for this unsigned inventor
Given	Name (first and midd	le [if any])			Fan	nily Name or Surname
James William				eahy		
Inventor's Signature	- William	det.				Date 4/6/05
Residence: City	San Leandro	Store CA	Co	untry US		Citizenship
Mailing Address	1185 Camellia Cou	irt				
Mailing Address						
City	San Leandro	State CA		Zip 94	1577	Country

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ADDITIONAL INVENTOR(S) Supplemental Sheet

Page 4 of 4

Name of Additional Inventor, if a	ny	A petition has been filed for this unsigned inventor			
Given Name (first and mide	dle [if any])	F	Family Name or Surname		
Robert D.		Mercer			
Inventor's Signature			4-04-05 Date		
Residence: City Cornelius	OR State	US Country	US Citizenship		
Mailing Address 1984 SW Bloomi	ng-Fern Hill Rd				
Mailing Address					
City Cornelius	OR State	97113 ZIP	US Country		
Name of Additional Inventor, if a	ny	☐ A petition has been file	d for this unsigned inventor		
Given Name (first and mide	dle [if any])	F	amily Name or Surname		
Michael		Morrissey	Morrissey		
Inventor's Signature			Date		
Residence: City Danville	State CA	Country	Citizenship US		
Mailing Address 129 Alta Vista Wa	y				
Mailing Address					
City Danville	State CA	Zip 94506	Country US		
Name of Additional Inventor, if a	ny	☐ A petition has been filed for this unsigned inventor			
Given Name (first and mide	dle [if any])	Family Name or Surname			
Troy M.		Swartwood	Swartwood		
Inventor's Signature			Date		
Residence: City Seattle	State WA	Country	Citizenship		
Mailing Address 4044 NE 58th Stre	et				
Mailing Address					
City Seattle	State WA	Zip 98105	Country US		

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Given Name (first and mid	dle [if any])	F	amily Name or Surname			
Robert D.		Mercer				
Inventor's Signature			Date			
Residence: City Cornelius	OR State	Country	US Citizenship			
Mailing Address 19641 SW Bloomi	ng-Fern Hill Rd					
Mailing Address						
City Cornelius	OR State	97113 ZIP	Country			
Name of Additional Inventor, if a	ny	A petition has been file	d for this unsigned inventor			
Given Name (first and mid	dle [if any])	F	Family Name or Surname			
Michael		Morrissey				
Inventor's MMM	~~		Date 3-24.2015			
Residence: City Danville	State A	Country US	Citizenship US			
Mailing Address 129 Alta Vista Wa	()					
Mailing Address						
City Danville	State CA	Zip 94506	Country			
Name of Additional Inventor, if a	ny	☐ A petition has been	filed for this unsigned inventor			
Given Name (first and mide	dle [if any])	Family Name or Surname				
Troy M.		Swartwood				
Inventor's Signature			Date			
Residence: City Seattle	State WA	Country US	Citizenship			
Mailing Address 4044 NE 58th Stre	et		- 14000			
Mailing Address						
City Seattle	State WA	Zip 98105	Country			

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Robert D.		Mercer			
Inventor's Signature			Date		
Residence: City Cornelius	OR State	Country	US Citizenship		
Mailing Address 19641 SW Bloomi	ng-Fern Hill Rd				
Mailing Address					
City Cornelius	OR State	97113 ZIP	Country		
Name of Additional Inventor, if a	ny	☐ A petition has been file	d for this unsigned inventor		
Given Name (first and midd	tle [if any])	F	amily Name or Surname		
Michael		Morrissey			
Inventor's Signature			Date		
Residence: City Danville	State CA	Country US	Citizenship US		
Mailing Address 129 Alta Vista Wa	,				
Mailing Address					
City Danville	State CA	Zip 94506	Country US.		
Name of Additional Inventor, if a	ny	☐ A petition has been	filed for this unsigned inventor		
Given Name (first and midd	lle [if any])	F	amily Name or Surname		
Troy M.		Swartwood			
Inventor's Signature Troy MS	vartivos		Date 3/17/05		
Residence: City Seattle	State WA	Country US	Citizenship US		
Mailing Address 4044 NE 58th Stre	et				
Mailing Address					
City Seattle	State WA	Zip 98105	Country		

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ASSIGNMENT

WHEREAS we, David Clarence Hager, Jeffrey D. Donaldson, Patrick Kearney, Douglas O. Keller, James William Leahy, Robert D. Mercer, Michael Morrissey, and Troy M. Swartwood, have previously entered into an agreement with Exelixis, Inc., South San Francisco, CA, to assign all our right, title and interest in and to any and all inventions made or conceived or reduced to practice during the course of our employment with Exelixis, and further agreed to execute additional assignment documents to assist Exelixis with respect to its proprietary rights, and wherein we, during the course of our employment with Exelixis have invented *Multi-Well Apparatus* (hereinafter "Invention"), disclosed in an application for United States patent, said application filed on March 10, 2004, Serial No. 10/797,978.

We are informed that Exelixis, Inc., 170 Harbor Way, P.O. Box 511, South San Francisco, California 94083-511 is desirous of obtaining an assignment document that indicates that Exelixis Plant Sciences, Inc., a wholly owned subsidiary of Exelixis, Inc. (collectively hereinafter referred to as Assignee), has received all right, title and interest to the Invention.

Now, therefore, and in consideration of our employment and compensation paid to us by Assignee and other good and valuable consideration, the receipt and sufficiency whereof are hereby acknowledged, we have assigned to said Assignee and said Assignee's legal representatives, successors and assigns, the entire right, title, and interest (a) in and to said application and said Invention; (b) in and to all rights to apply for foreign patents on said invention; (c) in and to any and all applications filed and any and all patents granted on said Invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a division, substitution, continuation or nonprovisional 37 CFR 1.53(b) application of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the above-mentioned Assignee agreeably with the terms of this agreement.

We hereby authorize the above-mentioned Assignee or its legal representative to insert in this instrument the filing date and serial number of said application or any other information that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

We have conveyed to said Assignee the entire right to make application in its own behalf for protection of said Invention in countries foreign to the United States and where expedient to claim under the International Convention or other international arrangement for any such application the date of the said United States application (or other application if any there be) in priority to other applications; and we have agreed that we will at any time upon request, without further or additional compensation or additional consideration, but at the expense of the said Assignee, execute such additional assignments and other writings and do such additional acts as said Assignee may deem necessary or desirable or perfect the Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, renewal, reissued or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or chose in action accruing as a result of such application or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of the assigns and legal representatives of both parties.

Date: 4/26/05	By: David Clarence Hage
Date: 3/18 /2005	By: Osland Jeffrey D. Donaldson
Date:	By: Patrick Kearney

ASSIGNMENT

WHEREAS we, David Clarence Hager, Jeffrey D. Donaldson, Patrick Kearney, Douglas O. Keller, James William Leahy, Robert D. Mercer, Michael Morrissey, and Troy M. Swartwood, have previously entered into an agreement with Exelixis, Inc., South San Francisco, CA, to assign all our right, title and interest in and to any and all inventions made or conceived or reduced to practice during the course of our employment with Exelixis, and further agreed to execute additional assignment documents to assist Exelixis with respect to its proprietary rights, and wherein we, during the course of our employment with Exelixis have invented *Multi-Well Apparatus* (hereinafter "Invention"), disclosed in an application for United States patent, said application filed on March 10, 2004, Serial No. 10/797,978.

We are informed that Exelixis, Inc., 170 Harbor Way, P.O. Box 511, South San Francisco, California 94083-511 is desirous of obtaining an assignment document that indicates that Exelixis Plant Sciences, Inc., a wholly owned subsidiary of Exelixis, Inc. (collectively hereinafter referred to as Assignee), has received all right, title and interest to the Invention.

Now, therefore, and in consideration of our employment and compensation paid to us by Assignee and other good and valuable consideration, the receipt and sufficiency whereof are hereby acknowledged, we have assigned to said Assignee and said Assignee's legal representatives, successors and assigns, the entire right, title, and interest (a) in and to said application and said Invention; (b) in and to all rights to apply for foreign patents on said invention; (c) in and to any and all applications filed and any and all patents granted on said Invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a division, substitution, continuation or nonprovisional 37 CFR 1.53(b) application of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the above-mentioned Assignee agreeably with the terms of this agreement.

We hereby authorize the above-mentioned Assignee or its legal representative to insert in this instrument the filing date and serial number of said application or any other information that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

We have conveyed to said Assignee the entire right to make application in its own behalf for protection of said Invention in countries foreign to the United States and where expedient to claim under the International Convention or other international arrangement for any such application the date of the said United States application (or other application if any there be) in priority to other applications; and we have agreed that we will at any time upon request, without further or additional compensation or additional consideration, but at the expense of the said Assignee, execute such additional assignments and other writings and do such additional acts as said Assignee may deem necessary or desirable or perfect the Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, renewal, reissued or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or chose in action accruing as a result of such application or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of the assigns and legal representatives of both parties.

Date:	By:
	David Clarence Hager
Date:	By:
	By: Jeffrey D. Donaldson
Date: 3/24/65	By: All C
	Patrick Kearney

Date: 3/31/05	By:
Date:	By: James William Leahy
Date:	By:Robert D. Mercer
Date:	By: Michael Morrissey
Date:	By: Troy M. Swartwood

Date:	By:
	By: James William Leahy
Date:	By:
Date:	By:Michael Morrissey
Date:	Ву:
	Troy M. Swartwood

Date:	By:
	Douglas O. Keller
Date:	By: James William Leahy
Date: 4-04-05	By: Robert D. Mercer
Date:	Ву:
	Michael Morrissey
Date:	Ву:
<u> </u>	Troy M. Swartwood

Date:	By:
	Douglas O. Keller
Date:	By:
Date:	·
Date:	By: Robert B. Mercer By: Michael Marrianay
Date:	Michael Morrissey By: Troy M. Swartwood

Date:	By:
	By: Douglas O. Keller
Date:	By:
	James William Leahy
Date:	By:
	Robert D. Mercer
Date:	Ву:
	Michael Morrissey
Date: 3/17/05	By: Troy M Swartwood Troy M. Swartwood
	Troy M. Swartwood